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PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria,

VA 22313-1450.

Phoebe E. Pogson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/652,580

Confirmation No. : 4283

Applicant: Vishnu K. Agarwal

: August 31, 2000

Attorney Docket No.: 501082.16

Art Unit

: 2825

Customer No.

: 27,076

Examiner: Caridad Everhart

Title

Filed

: DEVICE AND METHOD FOR PROTECTING AGAINST OXIDATION OF A

CONDUCTIVE LAYER IN SAID DEVICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP

Mark W. Roberts, Ph.D. Registration No. 46,160

MWR:pep

Enclosures:

Postcard Fee Transmittal (+ copy) Form PTO-1449 Cited References (3)

1420 Fifth Avenue, Suite 3400 Seattle, WA 98101 Telephone (206) 903-8800 Facsimile (206) 903-8820

| Application No. O9/652,580 Filing Date August 31, 2000 First Named Inventor Vishnu K. Agarwal Arty. Docket Number Solubarya Solubary | 58 | Complete if Known | | | | | | |
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| First Named Inventor Vishnu K. Agarwal Group Art Unit 2825 | APR 1 9 200사 불 | Applica | ation No |). | 09/652 | ,580 | | |
| First Named Inventor Vishnu K. Agarwal Group Art Unit 2825 Examiner Carriada Everhart Arty, Docket Number 501082,16 | | First Named Inventor | | | Vishnu K. Agarwal | | | |
| Group Art Unit 2825 Examiner Caridad Everhart Atty. Docket Number 501082.16 | C 6 IRAD | | | | | | | |
| Examiner | (FOR FY 2004) | | | | | | | |
| Atty. Docket Number 501082.16 | | | | | | | | |
| Tell Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §\$ 1.16 and 1.17 and 1.136(a)(3) and credit any very payments to Deposit Account No.: 50-1265; Deposit Account Name: DORSEY 8.WHITNEY LLP | • | | | | | | | |
| 1. X The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-126§: Deposit Account Name: DORSEY 8 WHITNEY LLP DORSEY 8 WHITNEY LLP | | Atty. D | ocket N | | | | | |
| Authors Fee | | - | | | EE CALC | ULATION (Continued) | | |
| 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266: Deposit Account Name: DORSEY & WHITNEY LLP | | | | | Entity | | | |
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| Second S | 2 1 1 Check Enclosed | | | | | | \$ | |
| 1. BASIC FILING FEE Large Entity Small Entity | | | | 100 July 194 | P# | or cover sheet | \$ | |
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| Fee Gode (S) Fee Fee Gode (S) Fee Fee Gode (S) | | 1812 | 2,520 | | 16 | Reexamination | \$ | |
| 1001 770 2001 385 Utility Filing Fee 1251 110 2251 55 Extension for reply within first month 1251 110 2251 55 Extension for reply within first month 1252 | Fee Fee Fee Fee Description | 1804 | 920 | 1804 | 920 | to Examiner action | \$ | |
| 1002 340 2002 170 Design Filing Fee 1252 420 2252 210 Extension for reply within 2 rd month 1003 530 2003 265 Plant Filing Fee 1253 950 2253 475 Extension for reply within 3 rd month 1004 770 2004 385 Reissue Filing Fee 1254 1,480 2254 740 Extension for reply within 4 th month 1005 160 2005 80 Provisional Filing Fee 1255 2,010 2,010 2 | Code (3) Code (3) | 1805 | 1,840 | 1805 | 1,840 | | \$ | |
| 1003 530 2003 265 Plant Filing Fee 1253 950 2253 475 Extension for reply within 3 rd month 1004 770 2004 385 Reissue Filing Fee 1254 1,480 2254 740 Extension for reply within 4 th month 1005 160 2005 80 Provisional Filing Fee 1255 2,010 2255 1,005 Extension for reply within 5 th month 1255 2,010 2255 1,005 Extension for reply within 5 th month 1401 330 2401 165 Notice of Appeal 1402 330 2402 165 Filing a brief in support of an appeal 1402 330 2402 165 Filing a brief in support of an appeal 1402 1403 290 2403 145 Request for oral hearing 1402 1403 | A CALLERY OF THE CALL | 1251 | 110 | 2251 | 55 | • • | \$ | |
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| Subtotal (1) \$ 0 1401 330 2401 165 Notice of Appeal 2. EXTRA CLAIM FEES Current Claims Prior Extra Fee Fee Paid 1403 290 2403 145 Request for oral hearing Total 24 - 24 = 0 x \$ 18 = \$ 0 1814 110 2814 55 Terminal Disclaimer Fee 160 1403 290 2403 145 Request for oral hearing Ind. 3 - 3 = 0 x \$ 86 = \$ 0 1452 110 2452 55 Petition to revive – unavoidable 160 1403 2501 1450 1450 1501 1450 1501 1450 1501 1450 1501 1450 1501 1450 1501 1450 1501 1502 1501 1501 1450 1501 1502 1501 1501 1450 1501 1502 1501 1501 1450 1501 1502 1501 1501 1502 1501 1501 15 | | 1254 | 1,480 | 2254 | 740 | • | \$ | |
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| 2. EXTRA CLAIM FEES Current Claims | Subtotal (1) \$ <u>0</u> | 1401 | | | and the same of th | • | \$ | |
| Total 24 - 24 = 0 x \$ 18 = \$0 1814 110 2814 55 Terminal Disclaimer Fee 1452 110 2452 55 Petition to revive - unavoidable 1452 110 2452 55 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unintentional 1501 1,330 2501 1501 1,330 2501 1501 1,330 2501 1502 1460 1300 | 2. EXTRA CLAIM FEES | | | | | | \$ | |
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| Large Entity Small Entity Smal | Subtotal (2) \$0 | - 345 | | | e design | • | \$ | |
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| 1202 | | 100 | | | - | | \$ | |
| 1201 86 2201 43 Independent claims in excess of 3 1806 180 Submission of IDS 1203 290 2203 145 Multiple dependent Claim 1204 86 2204 43 Reissue independent claims over original patent 1205 18 2205 9 Reissue claims in excess of 20 and 1801 770 2801 385 Request for Continued Examination | · | 75 | | | - | • | \$ | |
| 1203 290 2203 145 Multiple dependent Claim Recording each patent assignment 8021 40 8021 40 per property (times number of original patent 1205 18 2305 9 Reissue claims in excess of 20 and 1801 770 2801 385 Request for Continued Examination Recording each patent assignment 8021 40 8021 40 per property (times number of properties) Request for Continued Examination 1205 18 2305 9 Request for Continued Examination 1206 1207 120 | 1201 86 2201 43 Independent claims in excess of 3 | 1- | ĺ | 1 | - Address | - | \$ | |
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| and the state of t | | 1801 | 770 | 2801 | 385 | | \$ | |
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| Subtotal (3) | | | | | | Subtotal (3) | \$ 0 | |
| Total Amount of Payment: | | | | | • | Total Amount of Payment: | \$ 0 | |
| Submitted by: | Submitted by: | | | | | | | |
| Name: Mark W. Roberts, Ph.D. Reg. No.: 46,160 Telephone: (206) 903-8800 | Name: Mark W. Roberts, Ph.D. Reg. No.: 46,160 | | | • | Telephon | e: (206) 903-8800 | | |
| Signature: MalOV. Polita Date: 04-13-04 | Signature OM. INPLA | | | | Data: 4 | 14-13-06 | | |

FORM PTO-1449
(REV.7-80)

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INFORMATION DESCRIPTION DE

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 501082.16

APPLICATION NO. 09/652,580

TION DESCLOSURE STATEMENT

al sheets if necessary)

APPLICANT(S)
Vishnu K. Agarwal

FILING DATE

GROUP ART UNIT

August 31, 2000 2825

| • | | | | PATENT DOCUMENTS | 1 | T | | n |
|---------------------|----|---------------------------------------|------------|--|--------------------|-------------|--------------------|-------|
| EXAMINER INITIAL | ļ | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING IF APPRO | |
| | AA | 4,599,678 | 07/08/86 | Wertheimer et al. | 361 | 323 | | |
| .,- | AB | 6,156,674 | 12/05/00 | Li et al. | 438 | 780 | | |
| | AC | 6,350,706 B1 | 02/26/02 | Howard | 438 | 781 | | |
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